

Macquarie Individual and Sole Traders identification form

Macquarie Bank Limited ABN 46 008 583 542 AFSL and Australian Credit Licence 237502

- **Section 1 and 2 are mandatory.**
- Your identity may be verified electronically or through document-based verification. For electronic verification refer to **Section 3** and for document-based verification refer to **Section 4 and 5**.
- Complete all applicable sections of this form in **BLOCK LETTERS**.
- Each individual who is a signatory on your account(s) must complete their own form.

1. Individual identification procedure

1.1 Personal details

Title: First and middle name(s):

Surname: Other names known by:

Date of birth: Occupation:

Source of net wealth (select one option only as the predominant source)

| | | | |
|---------------------|-----------------------------|------------------|----------------|
| Business operations | Savings from employment | Superannuation | Investments |
| Inheritance/gift | Sale of a property or asset | Legal settlement | Foreign income |

Registered address (PO Box is NOT acceptable)

Street name and number:

Suburb: State: Postcode: Country:

Are you a sole trader? **Yes ► go to section 1.2** **No ► go to section 2**

1. Individual identification procedure (continued)

1.2 Sole trader details

Full business name:

ABN (if any):

Principal place of business (if any) (PO Box is NOT acceptable)

Street name and number:

Suburb:

State:

Postcode:

Country:

Business activity:

2. Tax information

Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Please answer **both** tax residency questions:

Are you an Australian resident for tax purposes?

Yes

No

Are you a tax resident of another country?

Please note, if you have provided foreign identification documents from Part III above, and have indicated that you are not a foreign tax resident of another country, you may be required to provide additional documentation.

No ► go to section 3

Yes. If the individual is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

Please note a US TIN must be provided if you are a US Specified Person. This is a mandatory requirement and a TIN exemption reason will no longer be accepted. The account cannot be opened without a US TIN.

| Country 1 | Country 2 | Country 3 |
|--|--|--|
| Country | Country | Country |
| TIN (if no TIN, list reason A, B or C) | TIN (if no TIN, list reason A, B or C) | TIN (if no TIN, list reason A, B or C) |

Reason A: The country of tax residency does not issue TINs to tax residents

Reason B: The individual has not been issued with a TIN

Reason C: The country of tax residency does not require the TIN to be disclosed

Please cross this box if there are more countries, provide details on a separate sheet.

3. Electronic verification of identity and consent

We can verify your identity electronically using government sources and information held by credit reporting agencies such as Equifax. To learn more, go to <https://www.macquarie.com.au/everyday-banking/macquarie-client-identity-verification.html>

For electronic verification, you will need to provide a non-certified copy of either your valid **Australian driver licence (both sides) or Australian passport**. If we are unable to verify your identity electronically, we will ask you to provide a certified copy of your identification documents as per section 4 and 5.

Do you agree for your identity to be verified electronically and to Macquarie's Group Privacy Policy which can be found on our website?

Yes ► *provide a non-certified copy of either your valid Australian driver licence (both sides) or Australian passport*

No ► *provide identification documents as per section 4 and 5*

4. Identification documents for document-based verification

For document based identity verification, you will have to provide certified copies of the following:

- **one** primary document, or
- **two** secondary documents: **one** document from **Category A** AND **one** from **Category B**.

To confirm your identity, the documents you provide need to match exactly with the details you have provided in your application form, including:

- your full name (no initials)
- your residential address
- your date of birth.

Primary identification documents

- Australian Drivers Licence or Learner Permit (current)
- Australian Passport (current or expired within the last 2 years)
- International Passport (current)
- International Driver's licence (current)
- Proof of Age Card/NSW Photo Card (current and government-issued)
- National identity card issued by a foreign government that contains a signature or unique identifier
- For Aboriginal and Torres Strait Islander people, a **statement from a referee** is acceptable

Secondary identification documents (must display your name and residential address)

Category A

- Australian Birth Certificate
- Australian Citizen Certificate
- Centrelink pension card (current and must show current address)

Category B

- Utility Bill (less than 3 months old)
- Council Rates Notice (less than 3 months old)
- Taxation Notice (less than 12 months old)
- Centrelink Statement (less than 12 months old)

For a comprehensive list go to <https://www.macquarie.com.au/everyday-banking/macquarie-client-identity-verification.html>

5. Certification of identification documents

In order for documents to meet the certification requirements, the document must clearly be certified as a true copy of the original by an appropriate person. Each certified copy document must be certified separately and must show clearly:

- the date of Certification is to be no more than **2 years** old at the time of acceptance by Macquarie,
- written or stamped '**certified true copy**',
- the **wet ink signature** of the certifying officer, the full name, provider/registration number (if applicable), and
- profession/qualification of the certifying officer and years of service (if applicable), legibly printed below the signature.

Persons who can certify documents include:

- Justice of the Peace
- Notary public
- a police officer
- a permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
- an officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more years of continuous service with one or more financial institutions.

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