

Macquarie Transporter/FAST User Registration



Macquarie Investment Management Limited ABN 66 002 867 003 AFSL No. 237492

PLEASE USE BLACK INK

Please complete this form if you would like to use the Transporter/FAST application. Your use of Transporter/FAST is subject to the terms and conditions set out in Section 6 of this form.

1. YOUR DETAILS

Dealer/Business name

Address

2. APPLICATION TYPE

Please select the application type(s) you would like to access.

- a) **Transporter** ▶ Transaction file upload **OR** b) **FAST** ▶ Fees
 Balance file download Tax Payments

Dealer Code (if known)

Adviser Code (if known)

Please note each of the following sections must be completed

3. BANKING DETAILS

I/We nominate the following trust/bank account(s) authorised to accept client funds from Macquarie.

Name of financial institution

Branch name

BSB number

Account/Membership number

Account name

Name of financial institution

Branch name

BSB number

Account/Membership number

Account name

4. DEALER/BUSINESS SIGNATURE

For a company we require, two directors, a director and a secretary or a sole director to sign.

I/We request access to this service for the person(s) named in **Section 5**, in order to perform services for our clients.

I/We confirm that I/We have read, understood and agree to be bound by the conditions of use set out in **Section 6** of this form.

Signature

Signature

Date (DD/MM/YYYY) / /

Date (DD/MM/YYYY) / /

Print Name

Print Name

Title:

Mr Mrs Ms Miss Other

Title:

Mr Mrs Ms Miss Other

If a company officer, you **must** specify your corporate title:

Director Sole Director Secretary

If a company officer, you **must** specify your corporate title:

Director Sole Director Secretary

5. USER DETAILS to be completed by the employee(s) or agent(s)

You need to indicate levels of access required. The functions available are different within each access level. The access levels are:

O – Operator only – can download files from Macquarie and prepare transaction files for processing by Macquarie.

A – Single Authoriser – in addition to operator access, can approve and upload Transporter/FAST files **solely**, for processing by Macquarie.

D – Dual Authoriser – in addition to operator access, can approve and upload Transporter/FAST files **jointly** with another dual authoriser for processing by Macquarie.

Full Name

Title:

 Mr Mrs Ms Miss Other

Do you have an existing Macquarie Access Code (MAC)?

 Yes Access code

No If you do not have a MAC, we will generate one and send it to the address provided above

Access level

 Operator Single Authoriser Dual Authoriser

Date of birth (dd/mm/yy) / /

Email address

Employee/agents signature

Full Name

Title:

 Mr Mrs Ms Miss Other

Do you have an existing Macquarie Access Code (MAC)?

 Yes Access code

No If you do not have a MAC, we will generate one and send it to the address provided above

Access level

 Operator Single Authoriser Dual Authoriser

Date of birth (dd/mm/yy) / /

Email address

Employee/agents signature

6. TERMS AND CONDITIONS

1. Definitions

In these terms and conditions:

1.1 *Code or codes* means the Macquarie Access Code (MAC), user identifications, passwords, Personal Identification Numbers (PINs) and any other security codes or devices necessary to access Macquarie Online.

1.2 *Macquarie* refers to Macquarie Investment Management Limited (MIML).

1.3 *Macquarie Bank Group* refers to Macquarie Bank entities.

1.4 *Macquarie Online* means a facility by which users are able to access software containing client data, and transact from a remote location. This facility includes associated data, information and software owned by or licensed to the Macquarie Bank group. This facility includes the applications referred to as Macquarie Transporter and Macquarie FAST.

1.5 *We us or our* refers to Macquarie Bank Ltd, MIML and each other member of the Macquarie Bank Group, their employees and agents. Where these terms and conditions confer rights and benefits on persons other than MIML within the meaning of we, us our, MIML holds host rights and benefits upon trust for those persons and may enforce them on their behalf.

1.6 *You* refers to you as the financial intermediary, your employees and agents or you as employee as the case may be.

1.7 Macquarie agrees to allow you, as financial intermediary, access to and use of Macquarie Online on the conditions set out below.

2. Macquarie Online

2.1 You accept these conditions of use each time Macquarie Online is used in conjunction with your codes.

You agree:

a. to use Macquarie Online only if permitted by us:

(i) for legitimate purposes associated with clients and to the extent permitted by their unrevoked authority; and

(ii) in accordance with all means of access, security codes and devices nominated by us (including Macquarie access codes, user identifications, passwords and PINs) – which are collectively referred to as codes.

b. to only upload data on the terms agreed with us from time to time.

c. not to interfere with or damage (or attempt to interfere with or damage) any code, data or software associated with Macquarie Online (other than to make authorised transactions)

d. anything associated with or available through Macquarie Online belongs to us or other third persons and is protected by Intellectual property rights and agree not to access, download or otherwise use such things other than as expressly permitted by these conditions of use. You accept full responsibility and you indemnify us for any expense, loss or liability incurred as a result of any unauthorised use by you of such things;

e. to keep confidential and secure any information or data obtained at any time by using Macquarie Online;

f. to restrict access to Macquarie Online to your employees and agents for whom access is reasonably necessary to perform your duties to clients

g. to ensure that your employees and agents understands and comply with these conditions of use and enforce them at your expense; and

h. to keep each code:

- (i) confidential and on the terms which it is given to you; and
- (ii) secure against any improper or unauthorised use.

2.2 You will immediately advise us if:

a. any person to whom we have issued a code in relation to your business ceases to be your employee or agent or you cease to be an employee or agent as the case may be; or

b. you suspect a breach of any of these conditions of use by you, or any of your employees or agents

2.3 We will confirm the receipt of your instructions to transact (although not the transaction itself) at the time of your instructions.

2.4 You accept full responsibility for any expense, loss or liability (howsoever characterised or caused) incurred by us, you or a client as a result of the use of Macquarie Online by you, your employees and agents (both current and former)

2.5 We will be entitled to assume that any user has your authority each time Macquarie Online is used in conjunction with your codes, except for any use occurring after you have given us notice to the contrary.

2.6 We will use reasonable efforts to provide (but do not guarantee that we will provide) reliable data and information, to the extent that it is within our control. We take no responsibility for the reliability of data and information outside our control.

2.7 Subject to conditions and warranties implied by legislation, The Electronic Funds Transfer Code of Conduct, we exclude

a. liability for any delay, interruption or unavailability of Macquarie Online and for any inaccuracy of incompleteness of data provided by any person and available via Macquarie Online; and

b. all terms implied by statute, general law or custom except ones that may not be excluded. If we breach any condition or warranty implied by legislation in a contract with a consumer, liability for this breach is limited to resupply of the goods or services in respect of which the breach occurred.

2.8 We:

a. will use all reasonable efforts to provide (but do not guarantee we will provide) access to Macquarie Online at all reasonable times; and

b. reserve the right to suspend or terminate use of Macquarie Online at any time and for any reason.

2.9 You may:

a. end use of this service at any time by giving us written notice.

b. request us to cancel the authority of an authorised user to access the Online Service. We may require written confirmation of this request.

2.10 Your right to use Macquarie Online is personal to you and cannot be assigned or transferred.

2.11 We reserve the right to change any of these conditions at any time and you agree to comply with those changes from the time we notify you of the changes.