


Dealer registration – AFSL

Use this form to register a financial services company that holds an Australian Financial Services Licence (AFSL) number with Macquarie to distribute Macquarie Products or Services.

In addition to this form, to access Macquarie Online services, you, any financial services professionals and employees will be required to complete the *Adviser registration form* or the *Support staff registration form*.

1. Dealer information

 **All fields marked with a red asterisk (*) are mandatory.**

Dealer/Company name:

Dealer code (if known):

Type of company

Self-Licensed Firm

Dealer Group Licensed Firm

Stockbroking Firm

*Australian Business Number (ABN):

*ACN:

Name of ABN holder (if different from company name):

Does your company hold an Australian Financial Services Licence (AFSL) number?

No, please use the *Macquarie Dealer Registration – Non AFSL* form

Yes, *AFSL number:

AFSL name (if different):

 **If the business name is different to the name of the AFSL holder, please provide documentation demonstrating the business relationship.**

*A. Company contact information

Please tick if the dealer already exists on file

If the dealer is existing on file, please update the contact information for the company below.

Registered address (cannot be a PO Box):

Suburb:

State:

Postcode:

Country:

AUSTRALIA

1. Dealer information (Continued)

Postal address (if different from office address):

Suburb:

State:

Postcode:

Country:

AUSTRALIA

Office phone number:

Office email address:

Principal Place of business:

Suburb:

State:

Postcode:

Country:

AUSTRALIA

*B. Company contact information

Name of contact person:

Mobile number:

Email address:

2. Company bank details

This section is only applicable if you hold an AFSL or are eligible to receive payments.

Macquarie will make any applicable payments to the account listed here. Please read the terms and conditions relating to fees and other payments at the end of this form. Bank details must be in the same name as the AFSL holder. If not, please provide documentation to confirm the relationship between the two entities.

Account name:

BSB:

Account number:

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3. Company GST information

This section is only applicable if you hold an AFSL or are eligible to receive payments.

We require your GST information for purposes of adviser service fees or other payments.

Is the Company registered for GST?

Yes


No

Is the Company a resident of Australia for income tax purposes?

Yes

No, please provide country of residence for income tax purposes:

4. Product selection

 Please select the Macquarie Products, services and/or systems your company is seeking to distribute and/or access (you can select more than one).

Macquarie Cash products

Please ensure where cash products is selected, at least one representative is nominated in **section 5**

Macquarie Wrap

5. Dealer level access

Allows the Representatives specified in this section to view information about the Company and Macquarie accounts that have been established and/or administered by the Company's representatives and if applicable, receive statements regarding payments to the Company.

Before accessing Adviser Online you should carefully read the Adviser Online Terms and Conditions available on our website at **macquarie.com.au/site/adviser-online/terms-and-conditions.html**.


These Terms and Conditions must be read together with the **Macquarie Banking Terms and Conditions (Banking T&Cs)**.

The Banking T&Cs govern your use of Adviser Online including any payments you make or instructions you submit on behalf of your Clients in relation to Cash Hub Accounts. You can also use Adviser Online to access other Macquarie Products and submit investment instructions for them – in doing so, we will communicate instructions to and from the relevant product issuer.

By signing this section, you confirm that:

- you agree to the **Adviser Online Terms and Conditions** and the **Macquarie Banking Terms and Conditions**
- you agree to our Privacy Policy available on **macquarie.com.au** and for your identity to be verified electronically using government sources and information held by our credit reporting agencies, such as Equifax.

Go to **macquarie.com.au/everyday-banking/macquarie-client-identity-verification** to learn more.

 All fields marked with a red asterisk (*) are mandatory.

Representative 1

Please select your role:

Financial Adviser (Individual) ► ***please confirm if an adviser code is needed:*** Yes No

Support Staff

Other Financial Services Professional

Title: Name:

Any other name known by: Macquarie ID (if known):

*Date of birth: *Mobile number:

*Email address:

Is your postal address the same as stated in section 1A?

Yes No, please provide your postal address

5. Dealer level access (Continued)

Street name and number:

Suburb:

State:

Postcode:

*Electronic Verification

I have attached a certified copy of my government identification

No ► ***please complete the below***

Yes ► ***go to section 6***

Government Identification Details **(This is for verification purposes only)**

ID type

(Drivers Licence, Passport, Proof of Age)

Licence/Document number

State of Issue

(if using a passport, input Country of Issue)

Drivers licence card number (Only if present on ID):

Residential address – Street number and name:

Suburb:

State:

Postcode:

Representative Signature 1:

Date:

Representative 2

Please select your role:

Financial Adviser (Individual) ► ***please confirm if an adviser code is needed:***

Yes

No

Support Staff

Other Financial Services Professional

Title:

Name:

Any other name known by:

Macquarie ID (if known):

*Date of birth:

*Mobile number:

*Email address:

5. Dealer level access (Continued)

Is your postal address the same as stated in section 1A?

Yes No, please provide your postal address

Street name and number:

Suburb:

State:

Postcode:

*Electronic Verification

I have attached a certified copy of my government identification

No ► *please complete the below* Yes ► *go to section 6*

Government Identification Details (This is for verification purposes only)

ID type

(Drivers Licence, Passport, Proof of Age)

Licence/Document number

State of Issue

(if using a passport, input Country of Issue)

Drivers licence card number (Only if present on ID):

Residential address – Street number and name:


Suburb:

State:

Postcode:

Representative Signature 2:

Date:

 **Macquarie ID is a unique code allocated to you. Please do not share this with others. If you do not have a Dealer code or Macquarie ID we will automatically issue you one and email it to the email address listed in this section.**

6. Declaration and signature

Please ensure this form is executed by two directors, a director and secretary or a sole director.

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These Terms and Conditions must be read together with the **Macquarie Banking Terms and Conditions (Banking T&Cs)**.

The Banking T&Cs govern your use of Adviser Online including any payments you make or instructions you submit on behalf of your Clients in relation to Cash Hub Accounts. You can also use Adviser Online to access other Macquarie Products and submit investment instructions for them – in doing so, we will communicate instructions to and from the relevant product issuer.

By signing this section, you confirm that:

- you agree to the **Adviser Online Terms and Conditions** and the **Macquarie Banking Terms and Conditions**
- you agree to our Privacy Policy available on macquarie.com.au and for your identity to be verified electronically using government sources and information held by our credit reporting agencies, such as Equifax.

Go to macquarie.com.au/everyday-banking/macquarie-client-identity-verification to learn more.

 **All fields are mandatory**

Title:

Name:

Any other name known by:

Corporate title:

Director

Sole Director

Secretary

Director Identification Number (DIN):

Electronic Verification - I have attached a certified copy of my government identification

No ► ***please complete the below***

Yes ► ***below not required***

Date of birth:

Government Identification Details (This is for verification purposes only)

ID type

(Drivers Licence, Passport, Proof of Age)

Licence/Document number

State of Issue

(if using a passport, input Country of Issue)

Drivers licence card number (Only if present on ID):

Residential address – Street number and name:

Suburb:

State:

Postcode:

Signature 1:

Date:

6. Declaration and signature (Continued)

Title:

Name:

Any other name known by:

Corporate title:

Director

Secretary

Director Identification Number (DIN):

Electronic Verification - I have attached a certified copy of my government identification

No ► ***please complete the below***

Yes ► ***below not required***

Date of birth:

Government Identification Details (This is for verification purposes only)

ID type

(Drivers Licence, Passport, Proof of Age)

Licence/Document number

State of Issue

(if using a passport, input Country of Issue)

Drivers licence card number (Only if present on ID):

Residential address - Street number and name:

Suburb:

State:

Postcode:

Signature 2:

Date:

Sign



Wet signature, or



Electronic signature from an approved provider.

Visit Adviser Help Centre to view our requirements.

Submit



Email to adviser@macquarie.com

Need Help?

For more information, please visit **Adviser Help Centre**.