



# Tax status declaration form – Individuals

## Guide to completing this form

- This form is required to be used as part of your application for the Macquarie account\* you are applying for or in relation to your existing Macquarie account\*.
- If you are providing this form as part of your application for a Macquarie account\* please ensure that you have completed the application form and have read the relevant offer document before signing and returning this form along with your application form.
- Complete one form for each individual. Please use black ink and complete all applicable sections of this form in BLOCK LETTERS.
- Contact your Financial Services Professional or your Macquarie relationship manager if you have any queries.

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## Individual or joint applicant personal details

Only complete this section if you are an individual or joint applicant.

First and middle name(s):	<input type="text"/>		
Surname:	<input type="text"/>		
Date of birth:	<input type="text" value="/"/>	<input type="text" value="/"/>	<input type="text"/>
Residential address (PO Box is NOT acceptable)			
Street name and number:	<input type="text"/>		
Suburb:	<input type="text"/>	State: <input type="text"/>	Postcode: <input type="text"/>
Country:	<input type="text"/>		

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## Tax Information

Tax Residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Please answer **both** tax residency questions:

### Are you an Australian resident for tax purposes?

- Yes  
 No

### Are you a resident of another country for tax purposes?

- No ► **go to section 3**  
 Yes. If the individual is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below.  
If they are a tax resident of more than one other country, please list all relevant countries below.

\* References to "Macquarie account" is a reference to any account or financial product offered by the relevant member of the Macquarie Group of companies, including but not limited to Macquarie Bank Limited ABN 46 008 583 542, Macquarie Investment Management Limited ABN 66 002 867 003 and Macquarie Equities Limited ABN 41 002 574 923.

## Tax Information (continued)

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.

**PLEASE NOTE:** Effective 1 January 2021, a US TIN must be provided if you are a US Specified Person. This is a **mandatory requirement** and a TIN exemption reason will no longer be accepted. The account cannot be opened without a US TIN.

Country 1	Country 2	Country 3
Country <input type="text"/>	Country <input type="text"/>	Country <input type="text"/>
TIN (if no TIN, list reason A, B or C) <input type="text"/>	TIN (if no TIN, list reason A, B or C) <input type="text"/>	TIN (if no TIN, list reason A, B or C) <input type="text"/>

**Reason A:** The country of tax residency does not issue TINs to tax residents

**Reason B:** The individual has not been issued with a TIN

**Reason C:** The country of tax residency does not require the TIN to be disclosed

Please cross this box if there are more countries, provide details on a separate sheet.

## 3 Declaration

**IMPORTANT NOTE:** The Declaration must be signed by:

- the Individual or their Adviser, or
- all account holders (joint accounts only) or their Adviser, or
- for companies, two directors or a director and a company secretary or their Adviser, or
- for partnerships, any two partners or their Adviser, or
- for trusts, all Trustees necessary to sign for the trust or their Adviser.

### Client declaration

By completing and signing this form that forms part of my application for the Macquarie account\* or that is provided by me/us in relation to my/our existing Macquarie account\* I/we declare that:

- the information provided by me/us in this form to enable the relevant member of the Macquarie Group of companies (Macquarie) to comply with the US Foreign Account Tax Compliance Act and Common Reporting Standards, its supporting regulations and any related laws designed to implement those laws in Australia (FATCA/CRS) is correct and where relevant reflects my/our tax status for the purposes of FATCA/CRS.
- I/we will promptly notify Macquarie and provide Macquarie with any changes to the information provided by me/us in connection with FATCA/CRS and on request with any further information which is necessary or desirable for Macquarie to comply with any obligations it may have in connection with FATCA/CRS.

### Client signature 1

Date:

Name:

### OR Adviser declaration

By completing and signing this declaration I certify that an authorised representative of the entity or the individual(s) have verbally or in writing confirmed to me the truth of the information provided to me and I have no reason to doubt its reasonableness.

### AFS Licensee name

AFSL number:

### Representative/Employee name

Phone number:

### Signature

Date:

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### Macquarie use only:

Verifying officer's name:  Date:  Verifying officer's signature: