

# Macquarie Asset Transfer Form

Macquarie Investment Management Limited ABN 66 002 867 003 AFSL 237492 RSEL L0001281. Macquarie Investment Services Limited ABN 73 071 745 401 AFSL 237495. Macquarie Superannuation Plan ABN 65 508 799 106 RSE R1004496. Macquarie Equities Limited ABN 41 002 574 923 AFSL 237504. Third Party Platform Pty Ltd ABN 74 121 227 905 AFSL 314341. Macquarie Bank Limited ABN 46 008 583 542 AFSL 237502 is the issuer of the Macquarie Cash Management Account (CMA), Macquarie Consolidator Cash Account (Cash account), Macquarie Vision Cash Account (Cash Account) and Macquarie Wrap Cash Account (Wrap Cash Account).

**Use this form to transfer assets between your Wrap accounts and/or SMA accounts**

## Important information

- The date of purchase elected for a change in beneficial ownership transfer into an investment account must fall within 60 days of Macquarie receiving the transfer paperwork and the consideration must fall within 5% of the closing market price on the elected date of purchase.
- Assets transferred must be available on the relevant Macquarie Investment Menu. Illiquid investments may have restrictions on being transferred. When transferring all assets out of an SMA and closing the account, if the receiving account cannot hold the asset, the asset will be sold down by Macquarie.
- For contributions into a superannuation account, the consideration will be the closing market price as at the date the trustee receives all completed documents to commence the transfer.
- A fee may apply for any managed investments, Australian listed securities and international listed securities transferred in-specie. Refer to the relevant disclosure document for further details.
- We are unable to process transfers from pension accounts to other products. Although, you can transfer assets between your pension Wrap account and linked SMA.
- If you wish to in-specie transfer assets out of the **Engage** investment option, you would need to convert to the **Elevate** investment option, by completing the Product Conversion Request.
- If you are a client transferring assets out of your SMA account, please ensure you sign as the transferor in the Declarations and Signatures section.

## Additional documentation requirements

**Additional documentation is required for the following requests;**

Request type	Additional documentation required
<b>Transfer from IDPS to Super/Pension as a contribution<sup>1</sup></b>	<ul style="list-style-type: none"> <li>• Superannuation asset transfer form</li> <li>• Pension update request form<sup>2</sup></li> </ul>
<b>Transfer from IDPS to Super/Pension as a rollover</b>	<ul style="list-style-type: none"> <li>• Superannuation asset transfer form</li> <li>• Rollover benefits statement</li> <li>• Pension update request form<sup>2</sup></li> </ul>
<b>Transfer from Super to IDPS as a rollover/lump sum payment<sup>3</sup></b>	<ul style="list-style-type: none"> <li>• Super and pension withdrawal/rollover form</li> </ul>

## Instructions

Please complete the following sections:

**Transfer of assets between Wrap accounts:** Section 1- Current Account Details, Section 2- In-specie Transfer Buyer and Seller Details, Section 4- Asset(s) to Transfer and Section 5- Declaration and Signatures. If you are moving an SMA between products, please specify the SMA details in the in Section 4- Asset(s) to Transfer.

**Transfer assets into or out of an SMA:** Section 1- Current Account Details and Section 3- SMA Transfer Instructions are mandatory. Additional sections may be required if prompted by this document. This document is the only requirement when transferring assets into, between, or out of an SMA where the Wrap account does not change.

<sup>1</sup> Personal contribution is classified as funds being transferred from the client's individual name or from a joint holding.

<sup>2</sup> Pension Update Request form is required if the assets are being transferred into an existing pension account.

<sup>3</sup> To transfer your super to IDPS as a **rollover**, the investment(s) will continue to be in the superannuation environment therefore the IDPS account will need to be a self-managed super fund. To transfer your super to IDPS as a **lump sum payment**, you are required to meet certain conditions. For information on accessing your superannuation as a **lump sum payment**, please refer to the Preservation rules section of the Technical Information Booklet, available at [macquarie.com.au/supertech](http://macquarie.com.au/supertech).

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## Section 1- Current Account Details


Wrap account number:

Holder Identification Number (HIN):  
**Required for Macquarie Vision Trading and Macquarie Vision Investment Non-Custodial accounts**

Account name:

## Section 2- In-specie Transfer Buyer and Seller Details

### Details of seller(s) - the person(s)/entity disposing of the asset(s)

 If transferring out of a Super account, the seller should be specified as **Bond Street Custodians Limited**.

Name of seller (full name, company name or name of trust):

#### Full address of seller

Street name and number:

Suburb:

State:

Postcode:

Country:

### Details of buyer(s) - the person(s)/entity receiving the asset(s)

 If transferring into a Super/Pension account, the buyer should be specified as **Bond Street Custodians Limited**.

Name of buyer (full name, company name or name of trust):

Wrap account number:

Holder Identification Number (HIN):  
**Required for Macquarie Vision Trading and Macquarie Vision Investment Non-Custodial accounts**

#### Full address of buyer

Street name and number:

Suburb:

State:

Postcode:

Country:



## Section 4- Asset(s) to Transfer

Please consider your dividend reinvestment plans or corporate action nominations when completing the total units to be transferred. If you wish to transfer cash, please also specify the amount below.

Asset code	Asset name	Full holdings	Quantity (if not transferring full holdings)	Date of transfer <sup>5</sup>	Consideration (\$) <sup>6</sup>
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
					\$
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					\$
					\$
					\$
					\$

<sup>5</sup> If you are transferring an SMA, the date the transfer is processed will be used as the date of transfer.  
<sup>6</sup> If the transfer does not constitute a change of beneficial ownership, the date of transfer and consideration does not need to be provided. Transferring assets within the same Wrap account does not constitute a change of beneficial ownership. E.g. Wrap to linked SMA.

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## Section 5- Declaration and Signatures

- I/We the registered holder(s) and undersigned Seller(s) for the consideration and date specified in **Asset(s) to transfer** section do hereby transfer to the Buyer(s) the securities, as specified in **Asset(s) to transfer** section, standing in my/our name(s) in books of the above named Company (if applicable), subject to the several conditions which I/we held the same at the time of signing hereof and I/we the Buyer(s) do hereby agree to accept the said securities subject to the same conditions.
- If this form is signed under a Power of Attorney, I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed.

### Seller's or Transferor for an SMA signature(s)

If more than two sellers please attach an additional *Declaration and signatures* page.

Signature 1

Signature 2

Full name:

Date signed(seller):

Full name:

Date signed(seller):

Account holder

Account holder

Power of Attorney

Power of Attorney

Company officer

Company officer

If a company officer, your corporate title:

If a company officer, your corporate title:

### Buyer's signature(s)

If more than two buyers please attach an additional *Declaration and signatures* page.

Signature 1

Signature 2

Full name:

Date signed(buyer):

Full name:

Date signed(buyer):

Account holder

Account holder

Power of Attorney

Power of Attorney

Company officer

Company officer

If a company officer, your corporate title:

If a company officer, your corporate title:




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## Sign

- Wet signature, or
- Electronic signature from an approved provider.  
Visit Help Centre to view our requirements.

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## Submit

-  Upload to **Request Centre** (advisers only)
-  Email to [wrapsolutions@macquarie.com](mailto:wrapsolutions@macquarie.com)
-  Mail to **GPO Box 4045, Sydney, NSW, 2001**

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## Need Help?

If you're an adviser, you can visit **Adviser Help Centre** or chat to us through Adviser Online

If you're a client, you can visit our **Personal Help Centre**, speak to your adviser, or call us on 1800 025 063