

# Macquarie Australian Regulated Trusts identification form

Macquarie Bank Limited ABN 46 008 583 542 AFSL and Australian Credit Licence 237502

## Guide to completing this form

- This form is for *Australian Regulated Trusts* only. Australian Regulated Trusts include self-managed super funds, registered managed investment schemes, government superannuation funds or other Trusts subject to the regulatory oversight of an Australian regulator. For Trusts that are not subject to the oversight of an Australian regulator, complete the *Unregulated Trusts and Foreign Trusts* form.
- Complete both section 1 and section 2.
- Provide details for ALL Trustees (section 1.4) and provide a separate *ID form* for ONE of the Trustees.
- Provide details for the Trust's Beneficial Owners (section 1.5) and provide separate *Individual identification forms* for each of these Beneficial Owners.
- Complete separate *Individual identification* forms for each signatory on the account.
- Complete all applicable sections of this form in **BLOCK LETTERS**.

**Acceptable ID documents** – provide the following document to allow verification of a managed investment scheme or government superannuation fund:

- a copy of an offer document of the managed investments scheme (eg a copy of a Product Disclosure Statement)
- a copy or relevant extract of the legislation establishing the government superannuation fund sourced from a government website
- original or certified trust deed for regulated trusts
- all documents must have been certified within the last 12 months, at the time of acceptance by us.

## 1

### Regulated Trust identification procedure

#### 1.1 General information

Full name of Trust:

Full business name of trustee in respect of the trust (if any):

Country where trust established (only required if not Australia):

Industry of Operation (Primary):

Trust business activity/purpose:

**Source of net wealth (select one option only as the predominant source)\***

Business operations

Savings from employment

Superannuation

Investments

Inheritance/gift

Sale of a property or asset

Legal settlement

Foreign income

\* Source of wealth should be considered as a whole, including any beneficial owners if applicable.

## Regulated Trust identification procedure (continued)

### 1.2 Type of Regulated Trust

Select ONE of the following type of Regulated Trust:

**Self-Managed Superannuation Fund**

Provide the SMSF's ABN:

Provide name of the regulator (eg ASIC, APRA, ATO):

**Registered managed investment scheme**

Provide Australian Registered Scheme Number (ARSN):

**Unregistered managed investment scheme** (a managed investment scheme that is not registered by ASIC, that only has wholesale clients and does not make small scale offerings to which section 1012E of the Corporations Act 2001 applies.)

Provide the unregistered managed investment scheme's ABN:

**Government superannuation fund**


Provide name of the legislation establishing the fund:

**Other Regulated Trust**

A trust that is subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator such as an approved deposit fund, a pooled superannuation trust or an APRA-regulated superannuation fund eg family trust, unit trust, charitable trust, estate etc.

Provide name of the regulator (eg ASIC, APRA, ATO):

Provide the Trust's ABN or registration/licensing details:

 **Please note:** Trusts regulated by a foreign regulatory body should complete the *Unregulated Trusts and Foreign Trusts* form.

### 1.3 Beneficiaries details

Provide the names (1.3.1) and/or class(es) (1.3.2) of the Trust's beneficiaries. Both the names and classes of beneficiaries must be provided (if the Trust has both named and class(es) of beneficiaries).

#### 1.3.1 Named Beneficiaries

The person(s) for whom a trust has been created and who will eventually receive the benefits of the trust.

Beneficiary 1	Beneficiary 2	Beneficiary 3
Full given/entity name(s) <input type="text"/>	Full given/entity name(s) <input type="text"/>	Full given/entity name(s) <input type="text"/>
Surname <input type="text"/>	Surname <input type="text"/>	Surname <input type="text"/>

#### 1.3.2 Class(es) of beneficiaries (eg unit holders, family members of named person, charitable organisations causes)

Please cross this box if there are more Beneficiaries and provide details on a separate sheet.

## Regulated Trust identification procedure (continued)

### 1.4 Trustee details

The person(s) or company who holds or administers property or assets for the beneficiaries. Provide the name and residential/business addresses of ALL of the Trustees below.

**Complete a separate *Customer identification form* for ONE of these Trustees\*.**

Trustee 1		Trustee 2		Trustee 3	
Full given name(s)/Company name		Full given name(s)/Company name		Full given name(s)/Company name	
<input type="text"/>		<input type="text"/>		<input type="text"/>	
Surname		Surname		Surname	
<input type="text"/>		<input type="text"/>		<input type="text"/>	
Residential/Business address (PO boxes not accepted)		Residential/Business address (PO boxes not accepted)		Residential/Business address (PO boxes not accepted)	
<input type="text"/>		<input type="text"/>		<input type="text"/>	
Suburb		Suburb		Suburb	
<input type="text"/>		<input type="text"/>		<input type="text"/>	
State	Postcode	State	Postcode	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country		Country		Country	
<input type="text"/>		<input type="text"/>		<input type="text"/>	

Please cross this box if there are more Trustees and provide details on a separate sheet.

\* A Customer ID form should be completed for ONE of the Trustees based on the nature of this Trustee. For example, an Individual Identification form should be completed for a Trustee who is an individual or an Australian Company ID form for a Trustee that is an Australian Company.

## 2

## Tax information

Collection of tax status in accordance with the United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS).

**Regulated super funds (Self-Managed Superannuation Funds, APRA regulated super funds, government super funds or pooled superannuation trusts) are not required to complete section 2 ► go to section 3 or 4.**

Provide the Trust's Global Intermediary Identification Number (GIIN), if applicable:

**If the Trust is a Financial Institution but does not have a GIIN, provide its FATCA status (select ONE of the following statuses)**

Deemed Compliant Financial Institution

Excepted Financial Institution

Exempt Beneficial Owner

Non Reporting IGA Financial Institution (if the Trust is a Trustee-Documented Trust, provide the Trustee's GIIN):

Nonparticipating Financial Institution

Other (describe the Trust's FATCA status in the box provided):

## 3

## Certification of identification documents

In order for documents to meet the certification requirements, the document must clearly be certified as a true copy of the original by an appropriate person. Each certified copy document must be certified separately and must show clearly:

- the date of Certification is to be no more than **12 months** old at the time of acceptance by Macquarie,
- written or stamped '**certified true copy**',
- the **wet ink signature** of the certifying officer, the full name, provider/registration number (if applicable), and
- profession/qualification of the certifying officer and years of service (if applicable), legibly printed below the signature.

**Certification is only required on the first page of the document. The certifier needs to sight all pages of the document in order to ensure that they have reviewed the whole document.**

Persons who can certify documents include:

- Justice of the Peace
- Notary public
- a police officer
- a permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
- an officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more years of continuous service with one or more financial institutions.

For a comprehensive list go to <https://www.macquarie.com.au/everyday-banking/macquarie-client-identity-verification.html>