

Tax status declaration form – Individuals

Use this form to declare your tax status. Please complete all section of your form

1. Individual or joint applicant personal details

Only complete this section if you are an individual or joint applicant.

First and middle name(s):

Surname:

Date of birth:

Residential address (PO Box is NOT acceptable)

Street name and number:

Suburb:

State:

Postcode:

Country:

2. Tax Information

Tax Residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Please answer **both** tax residency questions:

Are you an Australian resident for tax purposes?

Yes

No

Are you a resident of another country for tax purposes?

No ► **go to section 3**

Yes. If the individual is a tax resident of a country other than Australia, please provide their tax identification number (TIN) or equivalent below. If they are a tax resident of more than one other country, please list all relevant countries below.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN.



PLEASE NOTE: Effective 1 January 2021, a US TIN must be provided if you are a US Specified Person. This is a **mandatory requirement** and a TIN exemption reason will no longer be accepted. The account cannot be opened without a US TIN.

Country 1

Country

Country 2

Country

Country 3

Country

TIN (if no TIN, list reason A, B or C)

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TIN (if no TIN, list reason A, B or C)

Reason A: The country of tax residency does not issue TINs to tax residents
(Only valid for Bahrain, The Bahamas, Bermuda, British Virgin Islands, Cayman Islands, Monaco, Montserrat, Turks and Caicos Islands, United Arab Emirates)

Reason B: The individual has not been issued with a TIN

Reason C: The country of tax residency does not require the TIN to be disclosed
(Only valid for Japan)

Please cross this box if there are more countries, provide details on a separate sheet.

3. Declaration



IMPORTANT NOTE: The Declaration must be signed by:

- **The client:**
 - **for joint accounts, all accounts holders, or**
 - **for companies, two directors or a director and a company secretary, or**
 - **for partnerships, any two partners, or**
 - **for trusts, all trustees necessary to sign for the trust, or**
- **The client's Adviser.**

Client declaration

By completing and signing this form, I/we declare that:

- the information provided by me/us in this form is correct and reflects my/our tax status. This information will allow the relevant product issuer to comply with applicable tax laws, including the US Foreign Account Tax Compliance Act and Common Reporting Standards (FATCA/CRS); and
- I/we will promptly notify the relevant product issuer of any changes to my/our FATCA/CRS information.

Client signature 1:

Name:

Date:

OR Adviser declaration

By completing and signing this declaration I certify that an authorised representative of the entity or the individual(s) have verbally or in writing confirmed to me the truth of the information provided to me and I have no reason to doubt its reasonableness.

AFS Licensee name:

Signature:

AFSL number:

Date:

Representative/Employee name:

Phone number: