

# Macquarie Wrap

## Investment Menu News – 21 September 2023



This document summarises recent changes involving product issuers, managed investments and listed securities offered through Macquarie Wrap.

For a more comprehensive list, please refer to the Investment Menu on Adviser Online. We strongly recommend you check this on a regular basis.

This information is provided for the use of financial professionals.

### Important Notices

Review important managed investment updates and information that may affect your clients.

| TYPE OF UPDATE  | FUND NAME / APIR CODE  | COMMUNICATION  |
|---|--|--|
| <b>FUND CLOSURES/TERMINATIONS*</b><br><br>*we offer several automated functions which rely on funds in the investor's portfolio remaining liquid. it is important to review impacted clients' accounts to ensure liquidity is enough to fund any automated transactions. please note, where funds have been hard-closed, temporarily closed or have been removed as an approved product from the investment menu, all automatic plans (both top-up and sell-down plans) that are currently in place that include those funds have ceased for your clients. automatic plans will not recommence if a fund is re-opened. please review your clients' accounts to determine whether replacement automatic plans need to be setup or any of these funds should be sold down manually and removed/replaced from their contribution and sell-down profiles. | <a href="#">Optimix Wholesale Global Share Trust Class A LEF0047AU</a><br><a href="#">Optimix Wholesale Global Share Trust Class B LEF0103AU</a><br><a href="#">Optimix Wholesale High Growth Trust Class B LEF0100AU</a><br><a href="#">OnePath Wholesale Diversified Credit Trust – Wholesale Units MMF0708AU</a><br><a href="#">OnePath Wholesale Blue Chip Imputation Trust MMF0340AU</a><br><a href="#">OnePath Wholesale Global Emerging Markets Share Trust MMF0275AU</a><br><a href="#">OnePath Wholesale Select Leaders Trust ANZ0216AU</a> | Effective 15 September 2023, OnePath Funds Management Limited has decided to terminate the funds.<br><br>Applications, automated plans and redemptions are now closed for the Funds. |

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| TYPE OF UPDATE                            | FUND NAME / APIR CODE  | COMMUNICATION  |
|---|--|--|
|   | <a href="#">Pendal European Share Fund</a><br><a href="#">BTA0124AU</a>  | <p>Effective 28 November 2023, Pendal Group Limited has decided to terminate the Funds.</p> <p>Applications and automated plans are now closed for the Funds.</p> <p>Please note, any applications received after 2.00pm (AEST) on 24 August 2023 have not been accepted.</p> <p>Redemption requests will continue to be accepted up to 2.00pm (Sydney time) on 27 November 2023.</p> <p>Wrap cut-off is 12.00pm AEST, 26 November 2023.</p> |
|   | Perpetual Global Innovation Share Fund A Class PER1547AU   | <p>Effective 24 October 2023, Perpetual Investment Management Limited has decided to terminate the Fund.</p> <p>The Fund is now closed to applications, automated plans, redemptions and transfers.</p> <p>All redemptions placed after 3pm, 12 September 2023 have been cancelled.</p>  |
|   | Polaris Global Equity No.1 Fund<br>MAQ4639AU   | <p>Effective 14 September 2023, Macquarie Investment Management Australia Limited has <a href="#">decided</a> to terminate the Fund.</p> <p>The Fund is now closed to applications, automated plans, redemptions and transfers.</p>  |
|   | State Street Multi-Asset Builder Fund<br>SST0052AU   | <p>There are no longer any holdings in this Fund and is now fully terminated off the platform.</p>   |
|   | T8 Energy Vision - AUD Class<br>ETL4286AU  | <p>Triple Eight Capital Pty Ltd has requested the Fund be removed as an Approved Product due to low support on platform. The Fund is now closed to applications and automated plans.</p>   |
| TARGET MARKET DETERMINATION (TMD) UPDATES | <a href="#">Aoris International Fund – Class A</a><br><a href="#">PIM3513AU</a><br><a href="#">Aoris International Fund – Class B Units</a><br><a href="#">PIM0058AU</a><br><a href="#">Aoris International Fund (Hedged) Class D</a><br><a href="#">PIM1812AU</a> | <p>Effective 25 August 2023, the TMDs for the Funds have been updated with key changes including:</p> <ul style="list-style-type: none"> <li>• Distribution conditions</li> <li>• Consumer's investment objective</li> <li>• Consumer's intended product use</li> <li>• Investment time frame</li> <li>• Consumer's risk</li> <li>• Withdrawal time frame</li> </ul>   |

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|---------------------|--|---|
|                     | <a href="#">Arculus Fixed Income Fund DDH8305AU</a><br><a href="#">Arculus Preferred Income Fund DDH0001AU</a>   | Effective 24 August 2023, the TMDs for the funds were updated.  |
|                     | WTW Diversified Growth Fund SLT0020AU<br>WTW Preservation Fund SLT0019AU   | Effective 1 September 2023, the <a href="#">PDS</a> and <a href="#">TMD</a> for the Profile Accumulation Portfolio (SLT0020AU) and the <a href="#">PDS</a> and <a href="#">TMD</a> for the Profile Preservation Portfolio (SLT0019) have been updated with key changes including: <ul style="list-style-type: none"> <li>• Change to Investment Manager to Towers Watson Australia Pty Ltd</li> <li>• Name changes to the funds</li> <li>• Distribution rationale</li> <li>• Consumer's investment objective</li> <li>• Consumer's intended product use</li> <li>• Investment timeframe</li> <li>• Consumer's risk</li> <li>• Additional commentary to product description of key attributes</li> </ul> |
| <b>FUND UPDATES</b> | All Vanguard Wholesale Funds on Platform   | Vanguard issued a <a href="#">notice</a> regarding interest earned on application monies.   |
|                     | Australian Ethical Australian Shares Fund Class B AUG0018AU  | Effective 30 September 2023, the Fund Manager will be making changes to the investment team and benchmark.  |
|                     | Australian Unity Wholesale Property Income YOC0100AU<br>Centuria Diversified Property Fund CNT9370AU<br>Charter Hall Direct Office Fund Wholesale Class A MAQ0842AU<br>Dexus Core Property Fund (Class A) AMP1015AU<br>Partners Group Global Real Estate (AUD) ETL0480AU<br>Spire Multifamily Growth & Income Fund Founders (AUD) Hedged Class ETL4846AU | Macquarie Investment Management Limited as Trustee of the Macquarie Superannuation Plan (MIML), has applied a Trustee limit of 10% effective 14th September 2023.<br><br>MIML has made this decision given the current market environment following increases in interest rates and the associated impacts on valuation and liquidity for the unlisted property sector.   |
|                     | Ellerston JAADE Australian Private Assets Fund (Retail) ECL2707AU  | Limited withdrawal offers have opened and will close on 30 September 2023.<br><br>Wrap cut-off is 12.00pm AEST, 29 September 2023.  |
|                     | GAM LSA Private Shares AU Fund – Class I ETL5089AU   | GAM has issued an <a href="#">update</a> regarding the proposed takeover partnership with NewGAMe.  |
|                     | Invesco Global Real Estate Fund – Class A GTU5547AU  | The Fund Manager has <a href="#">advised</a> a gate on withdrawals from the Fund, temporarily   |

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|----------------------|---|--|
|                      |   | <p>deferring redemption requests received after 30 August 2023.</p> <p>Macquarie Investment Management Limited as operator for the Investor Directed Portfolio Service ('IDPS') and Trustee of the Macquarie Superannuation Plan, has closed the Fund to applications and all automated plans on platform. MIML has made this decision because of the recent gate placed on further withdrawals from the Fund.</p> |
|                      | MA Marina Fund (IM) MAA7655AU   | Effective 18 September 2023, the fund is open to applications. The Fund Manager is accepting applications until 18 October 2023. Wrap cut-off is 12.00pm AEST, 17 October 2023.  |
|                      | Shield Master Fund (Balanced Class) KSA5852AU<br>Shield Master Fund (Conservative Class) KSA1536AU<br>Shield Master Fund (Growth Class) KSA8803AU<br>Shield Master Fund High Growth Class KSA9675AU | Effective 31 August 2023, Keystone Asset Management will terminate Fee Rebates to clients on platform as a result of changes to internal policies  |
|                      | Partners Group Global Real Estate (AUD) ETL0480AU   | On 29 August 2023, Partners Group issued a <a href="#">notice</a> that the 5% quarterly withdrawal gate has been reached. It is expected that the redemption requests for the 15 August 2023 and 15 September 2023 cut-offs will not be accepted.  |
|                      | SGH Ex-20 Aust Equities Fund Class A CRS0003AU  | On 31 August 2023, Apex Group <a href="#">issued notice</a> of a proposed change of Responsible Entity for the fund from MSC Australia Limited to MSC Trustees.  |
| UNIT HOLDER MEETINGS | Impax Sustainable Leaders Fund ETL8171AU  | <p>The unit holder meeting held on 31 July 2023 was adjourned to 28 September 2023.</p> <p>Click <a href="#">here</a> to see the Notice of Meeting and Explanatory Notes.</p>  |

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### Suspended Fund Updates and regular Withdrawal Windows

| APIR CODE | PRODUCT ISSUER FUND NAME  |
|-----------|---|
| AFM0010AU | <a href="#">Aurora Dividend Inc Trust-unlisted units</a>  |
| AFM0005AU | <a href="#">Aurora Fortitude Absolute Return Fund</a>   |
| AUS0112AU | <a href="#">Australian Unity Healthcare Property Trust – Wholesale</a><br>Withdrawal windows Mar, Jun, Sep, Dec |
| LMI0007AU | <a href="#">LM Wholesale Mortgage Fund</a>  |
| LMI0008AU | <a href="#">LM Wholesale Mortgage Income Fund 12 mths</a>   |

### General Product Issuer Updates

Technical and general product information such as Product Disclosure Statement (PDS) Updates, Portfolio Management Team updates, Fund Manager (FM) updates, FM research, market highlights, and more.

| FUND NAME / APIR CODE   | COMMUNICATION  |
|---|--|
| Australian Ethical Australian Shares Fund Class B AUG0018AU   | On 31/08/2023, Australian Ethical announced Deana Mitchell will assume the role of Portfolio Manager with a focus predominantly on large cap stocks within the fund.   |
| Dexus Property for Income Fund APN0001AU<br>Dexus Property for Income Fund No 2 APN0004AU<br>Dexus AREIT Fund APN0008AU<br>Dexus Asian REIT Fund APN0023AU<br>Impax Sustainable Leaders Fund ETL8171AU                  | As a result of a change in investment manager, the fund names have been updated to reflect Dexus as the investment manager.<br><br>Effective 1 September 2023, the <a href="#">PDS</a> has been updated with key changes including: <ul style="list-style-type: none"> <li>Changes to the custodian, unit registry, and administrator of the fund.</li> </ul>  |
| Macquarie Global Listed Real Estate Fund – Class O AMP0686AU  | Effective 1 September 2023, the <a href="#">PDS</a> has been updated with key changes including: <ul style="list-style-type: none"> <li>Reduction in management fees</li> <li>Increase in minimum initial investment amount</li> <li>Increase in minimum balance amount</li> </ul> The fund is now also open to new investors.   |
| Perpetual Diversified Real Return Fund – Class W PER0556AU<br>Perpetual Diversified Real Return Fund – Class Z PER6115AU<br>Perpetual ESG Australian Share Fund PER0116AU<br>Perpetual ESG Credit Income Fund PER1744AU | Effective 13 September 2023, the <a href="#">Supplementary PDS</a> has been updated with key changes including: <ul style="list-style-type: none"> <li>Removal of the Perpetual Global Innovation Share Fund – Class A (PER1547AU) from the PDS.</li> <li>Updated suggested length of investment for the Perpetual Diversified Real Return Funds</li> </ul> Updated maximum period of payments for withdrawals changes for the Perpetual ESG Credit Income Fund and Perpetual ESG Australian Share Fund. |



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|  |  |
|--|--|
| Perpetual Global Innovation Share Fund A Class PER1547AU   |  |
| Plato Global Shares Income Fund WHT0061AU  | Effective 8 September 2023, the management fee has <a href="#">reduced</a> to 0.85% pa of the net asset value of the Fund.   |
| Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) VAN2989AU<br>Vanguard Ethically Conscious Global Aggregate Bond Index (Hedged) ETF VEFI<br>Wheelhouse Global Fund BFL3446AU | On 25 July 2023, Vanguard issued a <a href="#">statement</a> in relation to regulatory action being taken by ASIC regarding a historical disclosure issue.<br><br>Effective 11 September 2023, the <a href="#">PDS</a> has been updated with <a href="#">key changes</a> including: <ul style="list-style-type: none"><li>• Fund name change</li><li>• Benchmark changes</li></ul> |

## Corporate Actions and Class Actions

**Important information on listed securities (including exchange traded funds) that can be held on Wrap, and any updates on recent class actions.**

### Corporate actions

Where a corporate action (provided if it is not compulsory) will result in an asset that we cannot administer in the service, we may limit or not offer the ability to take up the election. If there is a compulsory corporate action that results in an asset that we cannot administer, we reserve the right to pass on additional charges and costs incurred in the process of acquiring, managing, and disposing of the asset. Assets that we cannot administer in the service include but are not limited to:

1. Unlisted shares
2. Foreign currency
3. Shares not on an approved exchange
4. Physical assets and commodities.

### Physical settlement of underlying assets

Conversion or settlement into the physical underlying assets of an investment you hold cannot be administered on the platform. Such investments include but are not limited to:

1. CHESS Depository Interests
2. Warrants of international equities
3. Exchange Traded Funds
4. Exchange Traded Commodities
5. Exchange Traded Certificates.

### Dividend Bonus Share Plans (BSP)

BSP (also known as dividend share substitution plans) are not administered on the platform.

Please refer to **CAPS Online** via corporate actions > corporate actions calendar for other options available to your clients for the corporate actions shown below, and to be kept up to date with the details around other recent corporate actions.

**Please ensure that instructions to settle IPO trades are provided to us no later than 3 business days before settlement date and that accounts are funded at this point.**

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### SECURITY / ASX CODE

### COMMUNICATION

#### Australian Unity Healthcare Property Trust - Wholesale Units (AUS0112AU)

#### **Healthcare Property Trust - Off-Market Transfer Purchase Opportunity**

The parties to the litigation commenced by Northwest against Australian Unity Funds Management Limited ('AUFM') as responsible entity of the Australian Unity Healthcare Property Trust ('AUHPT'), Australian Unity entities and various Dexus entities, have agreed to settle the litigation. Under the Settlement Deed, AUFM has agreed to assist Northwest and its affiliate, Reco Indfund Private Limited to divest their Units by 30 June 2024.

Macquarie Investment Management Limited, as IDPS Operator of the Wrap platform and Trustee of the Macquarie Superannuation Plan will open the opportunity for existing investors to participate in the sign-up for the Off-Market Transfer Purchase. Existing investors who wish to participate will require the adviser to submit instructions via the Corporate Actions calendar. This event will be open until end of October subject to early closure.

## Foreign Tax (including W8BENs)

### W8BENs

Investors who are not US citizens or US residents for tax purposes and hold dual listed securities listed in the US, are required to complete a Declaration of Foreign Residency (or 'W-8BEN form'). Equivalent forms may also be needed and provided for securities listed or dually listed in other nations, such as Canada and Ireland.

Each security now requires that your clients complete a separate W-8BEN form per beneficial owner. Entities are still required to complete the W-8BEN-E form.

Search 'W8BEN' on [Adviser Help Centre](#) to download foreign tax forms and access useful information regarding foreign tax requirements.

***Indicative due dates for Foreign Tax forms for this quarter are given below. Please allow 30 days for Wrap to receive, process and pass on your instructions to the relevant Third Party. Any forms received within 30 days of the record date will be processed on a best efforts basis and may not be lodged with the share registry by the record date.***

More information on how to complete Foreign Tax documentation, please refer to the tax website.

| SECURITY                      | CODE | RECORD DATE       |
|-------------------------------|------|-------------------|
| Coronado Global Resources Inc | CRN  | 29 August 2023    |
| Resmed Inc.                   | RMD  | 17 August 2023    |
| News Corporation*             | NWS  | 13 September 2023 |

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|   |       |                   |
|---|-------|-------------------|
| News Corporation Non Vote*                | NWSLV | 13 September 2023 |
| Vanguard All-World Ex-US Shares Index ETF | VEU   | 22 June 2023      |
| Vanguard US Total Market Shares Index ETF | VTS   | 27 June 2023      |
| James Hardie Industries PLC               | JHX   | 27 May 2022       |

\*When the client holds either or both NWS and NWSLV, only 1 W8BEN form is required.

### Illiquid Asset Fee Exclusions

Please see [here](#) for a list of illiquid assets that are excluded from FUA-based administration and advice fee calculations.

#### New Exclusions

N/A

Visit [online](#) to view New Managed Investments effective 1 August 2023.

### Contact us

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*The information provided here is general information for the use of financial professionals and does not take account of the personal objectives, financial situation or needs of any prospective investor. Any prospective investor should obtain financial and taxation advice appropriate for their personal circumstances and consider whether investing in the financial products is appropriate for them in their circumstances.*